



2874

Attorney's Docket No.: 42P11003 Patent

In re the Application of: Liu et al. (inventor(s))

Application No.: 09/819,520

Filed: March 27, 2001

For: METHOD AND APPARATUS FOR INTERLEAVING AND SWITCHING AN OPTICAL BEAM IN
A SEMICONDUCTOR SUBSTRATE (title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

 Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

 X No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 29	Minus **	29	0	X9	\$	X18	\$ 0.00
Indep. Claims	* 4	Minus ***	4	0	X42	\$	X84	\$ 0.00
<div><input type="checkbox"/> First Presentation of Multiple Dependent Claim(s)</div>					+140	\$	+280	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on March 19, 2003
Date of Deposit

Dominique Valentino
Name of Person Mailing Correspondence

Dominique Valentino 3-19-03
Signature Date

RECEIVED
MAR 27 2003
TECHNOLOGY CENTER 2800

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

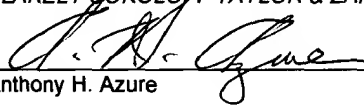
_____ **A duplicate copy of this sheet is enclosed.**

X _____ The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit
Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

_____ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

_____ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP



Anthony H. Azure

Reg. No. 52,580

Date: March 19, 2003

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(206) 292-8600



423911003

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu et al.

Serial No. 09/819,520

Filed: March 27, 2001

For: METHOD AND APPARATUS
FOR INTERLEAVING AND
SWITCHING AN OPTICAL BEAM IN A
SEMICONDUCTOR SUBSTRATE

Examiner: Sanghavi, H.

Art Unit: 2874

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE

Sir:

Responsive to the Office Action mailed December 19, 2002, the Applicants request
the Examiner to consider the following remarks.